Terms and Conditions of the second edition of the call 'Publication Funding in the Anthropocene Priority Research Area' implementing the policy of 'Open Access' under the Strategic Programme Excellence Initiative at the Jagiellonian University in the academic year 2020/2021 under the Strategic Programme Excellence Initiative at the Jagiellonian University in 2020/2021

The Priority Research Area Anthropocene, hereinafter the **Anthropocene PRA**, implements the policy of 'Open Access,' as Action no 6 (Open Access) under the Strategic Programme Excellence Initiative at the Jagiellonian University, hereinafter the ELJU. The aim of the call is the advancement of the Anthropocene PRA by means of supporting publication of the results of research carried out in the Anthropocene PRA thematic scope with renowned publishers, increased access to research results, improvement of publication quality and international recognition, promotion of open access to research results and raising the awareness of the academic community by means of publicity actions concerning the action and its benefits.

§ 1 Definitions

Whenever these Terms and Conditions make reference to:

Anthropocene PRA: it shall be understood as the Priority Research Area Anthropocene, as specified in the Jagiellonian University's application in the "Excellence Initiative- Research University" competition,

terms and conditions: it shall be understood as these terms and conditions of the second edition of the call 'Publication Funding in the Anthropocene Priority Research Area' implementing the policy of 'Open Access' under the Strategic Programme Excellence Initiative at the Jagiellonian University 2020/ 2021,

call: it shall be understood as the call 'Publication Funding in the Anthropocene Priority Research Area,'

action: it shall be understood as a selected group of tasks and actions aimed at achieving any of the goals of the Anthropocene PRA, as specified in the Jagiellonian University's application in the "Excellence Initiative- Research University" competition,

publication dealing with the thematic scope of the Anthropocene PRA: a publication falling within one of the three research domains specified in the strategy of the Anthropocene PRA, https://anthropocene.id.uj.edu.pl/strategia.

§ 2

1. These Terms and Conditions of the Call 'Publication Funding in the Anthropocene Priority Research Area' implement the Terms of Policy of 'Open Access' under the strategic programme EI.JU, as amended along the lines of the Anthropocene PRA.

- 2. These Terms and Conditions specify in detail the terms of receiving funding in the Anthropocene PRA within the meaning of the 'Open Access' Terms of Policy under the Strategic Programme Excellence Initiative at the Jagiellonian University.
- 3. Under the call funding may be granted to:
 - a. a monograph dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA,
 - b. a research paper dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA,
 - c. translation into a foreign language or proofreading of a monograph dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA,
 - d. translation into a foreign language or proofreading of a research paper dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA.
- 4. These Terms and Conditions specify the terms of the call and the documents required.
- 5. These Terms and Conditions specify the terms and criteria of proposal evaluation.
- 6. These Terms and Conditions specify the obligations of an author who has received publication funding of a research paper, monograph, proofreading or translation into a foreign language.
- 7. Template proposal, template protocol by the evaluation team and template project completion report are attached as annexes to these Terms and Conditions.

- 1. Beneficiary of the Call Publication Funding in the Anthropocene Priority Research Area' may be an author who is:
 - a. an academic teacher employed by the Jagiellonian University,
 - b. a doctoral student, enrolled at a JU Doctoral School,
 - c. a participant of a doctoral programme commenced before the academic year 2019/2020, who meets the conditions of open access publication funding for a research paper, monograph, proofreading or translation into a foreign language specified in these Terms and Conditions, and receives the funding following the Call procedure.
- 2. A person performing roles in the Anthropocene PRA structures may be a beneficiary of the call, provided that they be excluded from the decision making process of awarding the funding. Upon proposal submission, the above mentioned person must reveal that they perform a role in the Anthropocene PRA structures, stating the fact in a designated place on the entry form.
- 3. In the event that the Anthropocene PRA Coordinator enters in the call, they shall be excluded from the procedure of appointing evaluation teams in accordance with § 6

of these Terms and Conditions, and their role shall be assumed by the Head of the Steering Team for the Anthropocene PRA.

§ 4

The call, carried out under Action no 6 (Open Access), is announced by the PRA Anthropocene Coordinator.

§ 5

The schedule of the call includes the dates of opening and closing submissions in a given budget year. The amount of funds allocated for funding under the call in a given budget year will be announced alongside the schedule on the website anthropocene.id.uj.edu.pl.

§ 6

- 1. Proposals submitted in the call are reviewed by independent evaluation teams, appointed by the Anthropocene PRA Coordinator, taking into account the principles of impartial evaluation.
- 2. A list of the reviewers comprising the evaluation team will be posted on the website anthropocene.id.uj.edu.pl upon announcing the call.

§ 7

Eligible costs are deemed:

- a. publication costs and open access publication fee in the case referred to in § 2.3(a) of these Terms and Conditions,
- b. publication costs and open access publication fee in the case referred to in § 2.3(a) of these Terms and Conditions,
- c. costs of translation into a foreign language or proofreading, in the cases referred to in § 2.3(c) and (d) of these Terms and Conditions.

Terms of funding

- 1. Open access monograph publication funding within the meaning of the 'Open Access' Terms of Policy under the strategic programme EI.JU, referred to in § 2.1 of these Terms and Conditions, may be awarded to an applicant who meets the following conditions:
 - a. the monograph deals with the thematic scope of the Anthropocene PRA,
 - b. the author or editor of the monograph has received favourable reviews and confirmation that the monograph had been accepted for publication with a publisher ranked at Level 2 in the Inventory of publishers of reviewed research monographs published by the Ministry of Science and Higher Education, as of the day of applying for funding of the monograph.
- 2. By way of exception, funding for publication of a research monograph dealing with the thematic scope of the Anthropocene PRA in the domain of humanities (disciplines: archaeology, history, philosophy) and in the domain of social sciences (disciplines: economy and finance, socioeconomic geography and spatial management, politics and administration, management and quality studies, legal sciences, sociology) and in the domain of exact and life sciences (disciplines: mathematics, computer science, technical informatics and telecommunications, Earth and environmental science) may be granted to an author or editor who has received favourable reviews and the publisher's confirmation that the monograph had been accepted for publication with a renowned foreign publisher,

listed as Level 1 in the Inventory of publishers of reviewed research monographs published by the Polish Ministry of Science and Higher Education, as of the day of applying for funding of the day of publishing these Terms and Conditions (Level 1+). The list of renowned foreign publishers is attached as annex to these Terms and Conditions.

§ 9

Funding of a research paper may be granted to an author of a paper meeting the following conditions:

- a. the paper deals with the thematic scope of the Anthropocene PRA,
- b. the author has received favourable reviews and the publisher's confirmation that the paper had been accepted for publication with a renowned scientific journal or in reviewed proceedings from an international conference (other than journals covered by open publishing programmes under the Virtual Library of Science (Wirtualna Biblioteka Nauki) scheme), listed in the Inventory of academic journals and reviewed proceedings of international conferences published by the Polish Minister of Education and Science, as of the day of applying for funding of the paper, and is classified in a group which has been assigned:
 - i in the domain of humanities (disciplines: archaeology, history, philosophy) and in the domain of social sciences (disciplines: economy and finance, socioeconomic geography and spatial management, politics and administration, management and quality studies, legal sciences, sociology) and in the domain of exact and life sciences (disciplines: mathematics, computer science, technical informatics and telecommunications, Earth and environmental science) at least 100 ranking points,
 - ii in the domain of exact and life sciences (disciplines: astronomy, chemical sciences, physical sciences) at least 140 ranking points, and the journal is among the Top 10 Scopus indexed journals.

§ 10

Funding of proofreading may be granted to:

a. an author or editor of a monograph dealing with the thematic scope of the Anthropocene PRA, who has signed a publication contract with a publisher ranked at Level 2 in the Inventory of publishers of reviewed research monograph published by the Polish Ministry of Science and Higher Education, as on the day of applying for funding of the proofreading, and by way of exception has received a letter of intent from the above mentioned publisher in confirmation of interest in publishing the monograph, and by way of exception, funding for proofreading may be granted to an author of a research monograph dealing with the thematic scope of the Anthropocene PRA in the domain of humanities (disciplines: archaeology, history, philosophy) and in the domain of social sciences (disciplines: economy and finance, socioeconomic geography and spatial management, politics and administration, management and quality studies, legal sciences, sociology) and in the domain of exact and life sciences (disciplines: mathematics, computer science, technical informatics and telecommunications, Earth and environmental science), who has signed a publication contract with a renowned foreign publisher, listed as Level 1 in the Inventory of publishers

of reviewed research monographs published by the Polish Ministry of Science and Higher Education, as of the day of applying for funding of the day of publishing these Terms and Conditions (Level 1+). The list of renowned foreign publishers is attached as annex to these Terms and Conditions.

- b. an author of a research paper dealing with the thematic scope of the Anthropocene PRA, planned for publication in an academic journal or in reviewed proceedings from an international conference, listed in the *Inventory of academic journals and reviewed proceedings of international conferences* published by the Polish Minister of Education and Science, as on the day of applying for funding of the proofreading, classified in a group assigned:
 - i in the domain of humanities (disciplines: archaeology, history, philosophy) and in the domain of social sciences (disciplines: economy and finance, socioeconomic geography and spatial management, politics and administration, management and quality studies, legal sciences, sociology) and in the domain of exact and life sciences (disciplines: mathematics, computer science, technical informatics and telecommunications, Earth and environmental science) at least 100 ranking points,
 - i in the domain of exact and life sciences (disciplines: astronomy, chemical sciences, physical sciences) at least 140 ranking points, and the journal is in the Top 10 Scopus indexed journals.
- c. an author of a monograph book proposal dealing with the thematic scope of the Anthropocene PRA submitted to a publisher ranked at Level 2 in the *Inventory of publishers of reviewed research monograph* published by the Polish Ministry of Science and Higher Education, as on the day of applying for funding of the proofreading.

§ 11

Funding of translation into a foreign language may be granted to an author of a high quality unpublished text written in Polish and dealing with the thematic scope of the Anthropocene PRA, i.e.:

- a. in the case of a research paper: to an author of a research paper meeting the above conditions, planned for publication in an academic journal or in reviewed proceedings from an international conference, listed in the *Inventory of academic journals and reviewed proceedings of international conferences* published by the Polish Minister of Education and Science, as of the day of applying for funding of the translation, and is classified in a group assigned:
 - i in the domain of humanities (disciplines: archaeology, history, philosophy) and in the domain of social sciences (disciplines: economy and finance, socioeconomic geography and spatial management, politics and administration, management and quality studies, legal sciences, sociology) and in the domain of exact and life sciences (disciplines: mathematics, computer science, technical informatics and telecommunications, Eartg and environmental science) at least 100 ranking points,
 - i in the domain of exact and life sciences (disciplines: astronomy, chemical

sciences, physical sciences) – at least 140 ranking points, and the journal is in the Top 10 Scopus indexed journals.

b. in the case of a research monograph: to an author of a monograph meeting the above conditions, who has signed a publication contract with a publisher ranked at Level 2 in the Inventory of publishers of reviewed research monograph published by the Polish Ministry of Science and Higher Education, as on the day of applying for funding of the translation, or has signed a publication contract for a monograph in the domain of humanities (disciplines: archaeology, history, philosophy) and in the domain of social sciences (disciplines: economy and finance, socioeconomic geography and spatial management, politics and administration, management and quality studies, legal sciences, sociology) and in the domain of exact and life sciences (disciplines: mathematics, computer science, technical informatics and telecommunications, Earth and environmental science) with a renowned foreign publisher, listed as Level 1 in the Inventory of publishers of reviewed research monographs published by the Polish Ministry of Science and Higher Education, as of the day of applying for funding of the day of publishing these Terms and Conditions (Level 1+). The list of renowned foreign publishers is attached as annex to these Terms and Conditions; and by way of exception has received a letter of intent in confirmation of interest in publishing the monograph from a publisher ranked at Level 2 in that Inventory, as of the day of applying for funding of the translation.

§ 12

Persons applying for publication funding referred to in § 8, § 9, § 10, § 11 of these Terms and Conditions may not be simultaneously applying for similar funding under calls operated by other PRAs under the programme EI.JU.

Terms of call and documents required

§ 13

Submissions of proposals under the call are accepted on a continuous basis, following the schedule referred to in § 5 of these Terms and Conditions until exhaustion of funds allocated for the call in a given budget year.

§ 14

Proposal submission is effected by means of an electronic entry form at the website anthropocene.id.uj.edu.pl [template entry form is attached as Annex 1 to these Terms and Conditions].

- 1. The proposal shall be supported with the following documents:
- a pdf version of the paper or monograph,
- in the case of funding a research monograph: favourable reviews and the publisher's confirmation that the monograph has been accepted for publication, referred to in § 8 of these Terms and Conditions,
- in the case of funding a research paper: favourable reviews and the publisher's confirmation that the paper has been accepted for publication, referred to in § 9 of these Terms and Conditions,

- in the case of funding proofreading of a monograph: a contract by the publisher or a letter of intent referred to in § 10 of these Terms and Conditions,
- in the case of research papers: a document, issued by the publisher, in evidence of the amount of the publication fee and/or open access publication fee,
- in the case of monographs: a document, issued by the publisher, in evidence of the amount of the publication costs and/or open access publication fee,
- in the case of proofreading or translation: cost estimate of the planned services,
- a statement that the proposal has not been submitted to a call launched by another PRA,
- indication of the source of partial funding, especially when received from grants awarded by institutions other than the Jagiellonian University,
- the Applicant's declaration that they shall notify the call's host when their text has been published,
- a declaration of commitment on part of the Applicant undertaking to comply with the requirements specified in § 19 of these Terms and Conditions,
- consent to the publication of the call's results and to posting information on the publication, should the funding be granted, on the website and in the social media of the Anthropocene PRA.
- 2. In the event that the costs of publication funding referred to in § 8, § 9, § 10, § 11 of these Terms and Conditions exceed PLN 10,000 net, the evaluation team may request additional justification.

Terms and criteria of proposal evaluation

§ 16

- 1. Formal eligibility check of the proposal comprises verifying its completeness and its formal compliance with the requirements of these Terms and Conditions. It is carried out by the call coordinator appointed by the PRA Anthropocene Coordinator for the management of the application procedure. In the event of incompleteness of a proposal the call coordinator shall request the Applicant to rectify formal deficiencies of the proposal within up to 7 days.
- 2. Subject-specific evaluation of the proposal is carried out by two reviewers who are members of the evaluation team referred to in § 6 of these Terms and Conditions, and it comprises reviewing the proposal's compliance with the requirements referred to in these Terms and Conditions, relevance of the planned costs, relevance of the publication's chosen outlet, and:
 - a the publication's relevance for the Anthropocene PRA thematic scope and its affiliation with a specific group of disciplines,
 - b the publication's score according to the Inventory by the Ministry of Science and Higher Education / Minister of Education and Science referred to in § 8, § 9, § 10, § 11 of these Terms and Conditions and the Regulation of the Minister of Science and Higher Education of 22 February 2019 on the quality evaluation of research activity, and the List of renowned foreign publishers (Level 1+) attached as annex to these Terms and Conditions.
 - c the journal's indexation among Scopus' Top10 and Web of Science's Top 10,
 - d quality of the presented publication project in the case of proofreading or translation into a foreign language.

The template evaluation form is attached as Annex 2 to these Terms and Conditions.

- 1 The evaluation team recommends proposals for funding in full, in part, or it refuses funding. The verdict shall be supported with a note of justification.
- 2 In the event of recommending a project for funding in part, the applicant must express consent to the funding terms in order to receive the funding.
- 3 The decision of the evaluation team regarding the funding referred to in § 8, § 10(a) and § 11(b) of these Terms and Conditions shall be passed within 40 days of proposal submission; the decision of the evaluation team regarding the funding referred to in § 9, § 10(b), § 10(c), § 10(d) and § 11(a) of these Terms and Conditions shall be passed within 14 days of proposal submission.

§ 18

A list of applicants whose proposals have been recommended for funding will be published on the website anthropocene.id.uj.edu.pl.

Obligations of the author awarded funding

§ 19

The applicant who has received publication funding referred to in § 8, § 9, § 10 and § 11 of these Terms and Conditions under the call, shall:

a. comply with the requirements listed in § 9 of the 'Open Access' Terms of Policy under the Strategic Programme Excellence Initiative at the Jagiellonian University, including placing an appropriate note on funding in Polish or in English reading as follows:

Publikacja została sfinansowana ze środków Priorytetowego Obszaru Badawczego Anthropocene w ramach programu "Inicjatywa Doskonałości – Uczelnia Badawcza" w Uniwersytecie Jagiellońskim."

The publication was funded by the Priority Research Area Anthropocene under the program "Excellence Initiative – Research University" at the Jagiellonian University in Krakow.

- b. carry out the project in line with the action plan and costs presented in the proposal,
- c. present a VAT invoice for services related to the costs enumerated in § 7 of these Terms and Conditions forthwith upon its receipt, issued by the publisher, translator or proof-reader to the Jagiellonian University,
- d. deliver by means of an electronic entry form referred to in § 14 of these Terms and Conditions, a pdf of the publication marked with a Digital Object Identifier and a report on project completion within 4 weeks of the project conclusion [template report on project completion is attached as Annex 3 to these Terms and Conditions].

- 1. The project shall be considered completed once the obligations referred to in § 19 have been met.
- 2. In the case of funding proofreading or translation into a foreign language, the implementation of the project must be completed within 24 months of the funding award decision, and in the case of funding a research monograph or a chapter in a research monograph, or a research paper without undue delay, albeit no later than within 12 months of the funding decision.
- 3. The approval of project completion rests with the call coordinator referred to in § 16 of

- these Terms and Conditions.
- 4. In order to extend the deadline for project completion, or to modify it, the Applicant shall appeal, via the call coordinator, to the evaluation team for approval of changes, offering a note of justification.
- 5. The evaluation team shall decide on approving or rejecting the changes within 14 days of proposal submission.
- 6. Failure to complete the project on time precludes the Applicant's participation in other calls launched in the Anthropocene PRA for two years from the day on which the project was due.

Information on Personal Data Processing

§ 21

Pursuant to Article 13 of the Regulation of the European Parliament and of the Council (EU) 2016/679 of 27 April 2016 on the protection of individuals with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC (General Data Protection Regulation, hereinafter: GDPR), the Jagiellonian University informs that:

- 1. the **Administrator** of your personal data is the Jagiellonian University Gołębia 24, 31-007 Kraków, represented by the JU Rector.
- 2 The Jagiellonian University has appointed a Data Protection Officer at ul. Czapskich 4, 31-110 Krakow, room no 27. The Officer can be contacted via e-mail: iod@uj.edu.pl by phone at 12 663 12 25, from Monday to Friday between 8 a.m. and 3 p.m.
- 3. Your personal data provided in the call entry will be processed:
 - a in the case of all Participants for the **purpose of launching and conducting the Call** (hereinafter: the Call) pursuant to Article 6.1.f of the GDPR, i.e. for the purposes of the legitimate interests pursued by the controller, which consists in naming the laureate of the Call;
 - b in the case of a Participant awarded funding in the Call: for the purpose of legal obligations imposed on the Call's Host, including in particular the tax obligations, including in particular pursuant to Article 6.1.c of the GDPR.
- 4. While providing your personal data is voluntary, it is a necessary prerequisite for taking part in the Call. Not providing personal data precludes participation in the Call. In the case of Participants referred to under point 3.b above, providing the data constitutes a legal obligation, and failing to provide them shall preclude awarding the Participant.
- 5. Personal data of the Participants referred to under point 3.a will not be made available to entities outside the Call Host Entity's staff.
- Personal data of the Participants referred to under point 3.b above, may be published on the Call Host Entity's website, and they may be published in its social media. These data will also be made available to authorised public authorities, including, in particular, to the extent that these authorities are authorised to verify the fulfilment of the legal obligations imposed on the Call's Host.
- 7. Your personal data shall not be transferred to third countries (outside the EEA) / to international organisations.
- 8 Personal data of the Participants referred to under point 3.a above shall be processed until the Call is concluded and its results made known. Personal data of the Participants referred to under point 3.b above shall be processed in the period resulting from fiscal regulations, and subsequently, for archiving purposes, pursuant to applicable legal regulations.

- 9. You have the following rights: to obtain information on personal data processing and rights resulting from the GDPR, to access your data and rectify them, as well as to have them deleted from the controller's database (unless further processing is necessary for fulfilling a legal obligation or for the purposes of determining, pursuing, or defending claims), to limit their processing or transfer, and to object to the processing of the data in the cases and under the conditions specified in the GDPR.
- 10. Your personal data will not be subject to automated decision making or profiling.
- 11. You have the right to lodge a complaint with the President of the Office for Personal Data Protection, should you ascertain that the processing of your personal data violates the provisions of the GDPR.

Final provisions

§ 22

These Terms and Conditions are effective as of 31 May 2021 and remain in force until the conclusion of the Call's second edition.

Ewelina Żytniak-Olszewska Signed electronically by Ewelina Żytniak-Olszewska

Date: 2021.05.17 13:56:15 +02'00'