

**Terms and Conditions of the first edition of the call “Publication Funding
in the Anthropocene Priority Research Area” under the Strategic Programme
Excellence Initiative at the Jagiellonian University in 2020/2021**

The Priority Research Area Anthropocene, hereinafter: **Anthropocene PRA**, implements the “Open Access” Policy as Action no 6 carried out under the Strategic Programme Excellence Initiative at the Jagiellonian University, hereinafter EI.JU. The aim of the call is to develop the Anthropocene PRA through funding publication of the results of research carried out in the thematic scope of the Anthropocene PRA, to increase access to research results, to improve the quality of publications and their international recognition, to promote open access to research results and to raise the awareness of the academic community by disseminating information on the action and its benefits.

**§ 1
Definitions**

Whenever these Terms and Conditions make reference to:

Anthropocene PRA: it shall be understood as the Anthropocene Priority Research Area, as specified in the Jagiellonian University’s application in the “Excellence Initiative- Research University” competition,

Terms and Conditions: it shall be understood as these Terms and Conditions of the first edition of the call “Publication Funding in the Anthropocene PRA” under the strategic programme Excellence Initiative at the Jagiellonian University in the academic year 2020/2021.

call: it shall be understood as the call “Publication Funding in the Anthropocene PRA,”

action: it shall be understood as a selected group of tasks and activities set for the implementation of an objective of the Anthropocene PRA, as specified in the Jagiellonian University’s application in the “Excellence Initiative – Research University” competition,

publication addressing the thematic scope of the Anthropocene PRA: it shall be understood as a publication which falls within at least one of the three research domains specified in the strategy of the Anthropocene PRA <https://anthropocene.id.uj.edu.pl/strategia>.

§ 2

1. These Terms and Conditions of the call “Publication Funding in the Anthropocene Priority Research Area” implement the Terms and Conditions of the “Open Access” Policy carried out under the Strategic Programme Excellence Initiative at the Jagiellonian University as amended with regard to the characteristics of the Anthropocene PRA, set out in these Terms and Conditions.
2. These Terms and Conditions specify in detail the terms of receiving funding within the meaning of the Terms and Conditions of the “Open Access” Policy carried out under the Strategic Programme Excellence Initiative at the Jagiellonian University
3. Under the call, funding may be granted to:

- a. a monograph, or a chapter in a monograph dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA,
 - b. a research paper dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA,
 - c. translation into a foreign language or proofreading of a monograph or a chapter in a monograph dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA,
 - d. translation into a foreign language or proofreading of a research paper dealing with a research topic covered by the Anthropocene PRA, falling within at least one of the three research domains specified in the strategy of the Anthropocene PRA.
4. These Terms and Conditions specify the rules of proposal submission in the call and documents required.
5. These Terms and Conditions specify the rules and criteria of evaluation of proposals in the call.
6. These Terms and Conditions specify obligations of the author who receives funding of a research paper, a monograph, a chapter in a monograph, proofreading or a translation into a foreign language.
7. Template proposal, template record of the evaluation team meeting, and a template report on the project completion are attached as annexes to these Terms and Conditions.

§ 3

1. A grantee in the call “Publication Funding in the Anthropocene Priority Research Area” may be an author classified as:
 - a. an academic teacher employed by the Jagiellonian University,
 - b. a doctoral student at a JU Doctoral School,
 - c. a participant of doctoral studies commenced before the academic year 2019/2020,
 who meets the conditions of receiving funding to an Open Access publication of a research article or a monograph, or proofreading, or translation into a foreign language, and who is awarded aforementioned funding in the call.
2. A person performing functions within the structures of the Anthropocene PRA may become a grantee in the call provided that they be excluded from the funding award decision making process. Upon submitting their application, the aforementioned person is obliged to disclose the fact of performing functions within the structures of the Anthropocene PRA by stating it at an appropriate place in the proposal.
3. In the event of participation of the Coordinator of the Anthropocene PRA, they shall be excluded from the procedure of appointing evaluation teams pursuant to § 6 of these Terms and Conditions, and their role shall be taken by the head of the Steering Team of the Anthropocene PRA.

§ 4

The call, conducted under the action no 6 (Open Access) is announced by the Coordinator of the Anthropocene PRA.

§ 5

The call's schedule includes the dates of opening and closing submissions. The amount of resources allocated for funding in the call in a given fiscal year shall be announced alongside the schedule on the website anthropocene.id.uj.edu.pl.

§ 6

1. Proposals in the call are reviewed by independent evaluation teams, appointed by the Coordinator of the Anthropocene PRA, taking into account the requirements of impartial evaluation.
2. The list of reviewers comprising the evaluation team shall be published on the website anthropocene.id.uj.edu.pl alongside the call announcement.

§ 7

Eligible cost is deemed:

- a. publisher's cost and cost of publication in the Open Access model, in the case referred to in § 2.3.a of these Terms and Conditions,
- b. publication fee and cost of publication in the Open Access model, in the case referred to in § 2.3.b of these Terms and Conditions,
- c. cost of translation into a foreign language or proofreading, in the cases referred to in § 2.3.c-d of these Terms and Conditions.

Terms of funding

§ 8

Funding of an Open Access publication of a monograph or a chapter in a monograph, within the meaning of the Terms and Conditions of the "Open Access" Policy carried out under the Strategic Programme Excellence Initiative at the Jagiellonian University, referred to in §2.1 of these Terms and Conditions, may be granted provided that the following conditions have been met:

- a. the monograph deals with a research topic covered by the Anthropocene PRA,
- b. the author of the monograph has received favourable reviews and a confirmation that the monograph has been accepted for publication at a publisher listed in the Second Tier of the inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the monograph funding proposal; and in the case of a chapter in a monograph, they have received favourable reviews and a confirmation, by the monograph's editor, stating that the chapter has been accepted for publication in the monograph at a publisher listed in the Second Tier of the inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the monograph funding proposal.

§ 9

Funding of a research paper may be granted to an author who meets the following conditions:

- a. the paper in question deals with a research topic covered by the Anthropocene PRA,
- b. the author has received favourable reviews and a confirmation that the research paper has been accepted for publication by an academic journal or in reviewed proceedings from an international conference, listed in the Inventory of academic journals and reviewed proceedings of international conferences published by the Polish Ministry of Science and Higher Education, as on the day of applying for funding of the paper, and is classified in a group with at least 140 ranking points, with the exception of journals included in the publishing programmes of the Virtual Research Library.

§ 10

Funding of proofreading may be granted to:

- a. an author of a monograph dealing with a research topic covered by the Anthropocene PRA who has signed a publishing contract with a publisher listed in the Second Tier of the inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the proofreading funding proposal, and in exceptional cases, an author who has received a letter of intent confirming the aforementioned publisher's interest in the monograph,
- b. an author of a research paper dealing with a research topic covered by the Anthropocene PRA planned for publication in an academic journal or in reviewed proceedings from an international conference, listed in the Inventory of academic journals and reviewed proceedings of international conferences published by the Polish Ministry of Science and Higher Education, as on the day of applying for funding of the paper, classified in a group with at least 140 ranking points,
- c. an author of a chapter in a monograph dealing with a research topic covered by the Anthropocene PRA, who has received a confirmation, by the monograph's editor, stating that the chapter has been accepted for publication in the monograph at a publisher listed in the Second Tier of the Inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the proofreading funding proposal,
- d. an author of a book proposal dealing with a research topic covered by the Anthropocene PRA submitted to a publisher listed in the Second Tier of the inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the proofreading funding proposal.

§ 11

Funding of translation into a foreign language may be granted to an author of a high-quality unpublished text written in Polish and dealing with a research topic covered by the Anthropocene PRA, i.e.:

- a. in the case of a research paper: author of a research paper fulfilling aforementioned conditions, planned for publication in an academic journal or in reviewed proceedings from an international conference, listed in the Inventory of academic journals and reviewed proceedings of international conferences published by the Polish Ministry of Science and Higher Education, as on the day of applying for funding of the paper, and is classified in a group with at least 140 ranking points,
- b. in the case of a monograph or a chapter in a monograph: author of a monograph fulfilling aforementioned conditions, planned for publication, who has signed a publishing contract with a publisher listed in the Second Tier of the Inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the translation funding proposal, and in exceptional cases, an author who has received a letter of intent confirming interest in the monograph on part of a publisher listed in the Second Tier of the inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the translation funding proposal; and in the case of a chapter in a monograph, they have received favourable reviews and a confirmation, by the monograph's editor, stating that the chapter has been accepted for publication in the monograph at a publisher listed in the Second Tier of the inventory of publishers of peer-reviewed monographs by the Ministry of Science and Higher Education, version updated as of the day of submitting the translation funding proposal.

§ 12

Persons applying for funding of publications referred to in § 8, § 9, § 10, § 11 of these Terms and Conditions, may not simultaneously apply for similar funding in calls conducted by other PRAs in the EI.JU programme.

Terms of call and documents required

§ 13

Proposals are submitted in a continuous call. It is carried out in accordance with schedule referred to in § 5 of the Terms and Conditions, and is concluded once the resources allocated in the budget for a given calendar year have been exhausted.

§ 14

Proposals are submitted by sending in an electronic application form available on the website anthropocene.id.uj.edu.pl [Template application form is attached as Annex 1 to these Terms and Conditions].

§ 15

1. The proposal should be supported with the following documents:
 - a. a pdf version of the research paper, monograph or chapter in a monograph,

- b. in the case of funding of a monograph: favourable reviews, and the publisher's confirmation that the research paper or monograph has been accepted for publication, as referred to in § 8 of these Terms and Conditions,
 - c. in the case of funding of a chapter in a monograph: favourable reviews, and the publisher's confirmation that the chapter in a monograph has been accepted for publication, as referred to in § 8 of these Terms and Conditions,
 - d. in the case of funding of a research paper: favourable reviews, and the publisher's confirmation that the research paper has been accepted for publication, as referred to in § 9 of these Terms and Conditions,
 - e. in the case of funding of proofreading of a monograph: a contract or letter of intent, as referred to in § 10 of these Terms and Conditions,
 - f. in the case of funding of proofreading of a chapter in a monograph: a confirmation, by the monograph's editor, stating that the chapter has been accepted for publication, as referred to in § 10 of these Terms and Conditions,
 - g. in the case of research papers: a document, issued by the publisher, stating the amount of publication fee and the amount of open access fee for a given publication,
 - h. in the case of monographs: a document, issued by the publisher, stating the amount of publication fee and the amount of open access fee for a given publication,
 - i. in the case of proofreading or a translation: cost estimate of the services,
 - j. a statement that the proposal has not been submitted to a call launched by another PRA,
 - k. indication of the source of partial funding, especially when received from grants awarded by institutions other than the Jagiellonian University,
 - l. the Applicant's declaration that they shall notify the call's host when their text has been published,
 - m. the Applicant's declaration that they shall fulfil the obligations specified in § 19 of these Terms and Conditions,
 - n. a consent to the publication of the call's results and placing the information about the publication, in case of receiving funding, on the website and in the social media of the Anthropocene PRA.
2. If the cost of funding the publications referred to in § 8, § 9, § 10, § 11 of these Terms and Conditions exceeds PLN 10,000 net, the evaluation team may ask for additional justification.

Rules and criteria of proposal evaluation

§ 16

1. The proposal's formal eligibility check comprises verification of its completeness and formal conformity with the requirements specified in these Terms and Conditions. It is performed by the call Coordinator appointed by the Coordinator of the Anthropocene PRA for management of the application process. In the event of incompleteness of a proposal, the call Coordinator requests that the formal deficiencies of the proposal be remedied within a maximum of 7 days.
2. The proposal's merit-based evaluation is carried out independently by two reviewers from the evaluation team referred to in § 6 of these Terms and Conditions, and consists in

checking whether the requirements referred to in these Terms and Conditions have been met, whether the cost is well-justified, whether the place of publication is well-justified, as well as in assessing:

- a. the publication's connection to the thematic scope of the Anthropocene PRA,
- b. the publication's weighting as per the Inventory by the Ministry of Science and Higher Education referred to in § 8, § 9, § 10, § 11 of these Terms and Conditions and the Ordinance of the Minister of Science and Higher Education of 22 February 2019 on the evaluation of research activity,
- c. the journal's place on Scopus' Top 10 list
- d. the quality of the proposed publication project in the case of proofreading or a translation into a foreign language.

The template proposal form is attached as Annex 2 to these Terms and Conditions.

§ 17

1. The evaluation team may recommend a proposal for funding in full, in part or it may not recommend it for funding. The decision shall be supported with a note of justification.
2. Should the proposal be recommended for funding, it is required that the applicant expresses their agreement to the terms of funding.
3. The decision of the evaluation team concerning funding referred to in § 8, § 10.a and § 11.b of these Terms and Conditions shall be issued within 40 days of the proposal submission; the decision of the evaluation team concerning funding referred to in § 9, § 10.b, § 10.c, § 10.d and § 11.a of these Terms and Conditions shall be issued within 14 days of the proposal submission.

§ 18

A list of applicants whose proposals have been recommended for funding will be published on the website anthropocene.id.uj.edu.pl.

Obligations of the grantee

§ 19

An applicant who receives funding of publications referred to in § 8, § 9, § 10 and § 11 of the Terms and Conditions in the call is obliged to:

- a. fulfil the requirements specified in § 9 of the Terms and Conditions of the "Open Access" Policy carried out under the Strategic Programme Excellence Initiative at the Jagiellonian University, including a note on the source of funding in Polish or in English, as appropriate, reading as follows:

Publikacja została sfinansowana ze środków Priorytetowego Obszaru Badawczego Anthropocene w ramach programu „Inicjatywa Doskonałości – Uczelnia Badawcza” w Uniwersytecie Jagiellońskim.

The publication was funded by the Priority Research Area Anthropocene under the programme “Excellence Initiative – Research University” at the Jagiellonian University in Krakow.

- b. implement the project in accordance with the assumptions and cost estimates presented in the proposal,

- c. present a VAT invoice for services related to the cost listed in § 7 of the Rules and Regulations immediately after its receipt and issued by the publisher, translator or proofreader for the Jagiellonian University,
- d. supporting via the electronic application form, referred to in § 14 of these Terms and Conditions, a pdf file with the publication including a DOI and a report on project implementation within 4 weeks of project completion [template report is attached as Annex 3 to these Terms and Conditions].

§ 20

1. The project is deemed as completed once the obligations referred to in § 19 of these Terms and Conditions have been fulfilled.
2. In the case of funding of proofreading or a translation into a foreign language, the project must be completed within 24 months of the funding decision, and in the case of funding of a monograph or a chapter in a monograph, or a research paper: without undue delay, no later, however, than within 12 months of the funding decision.
3. Approval of the completion of a project is issued by the call Coordinator referred to in § 16 of these Terms and Conditions.
4. In order to extend the deadline for the completion of the project or in order to apply modifications, the Applicant shall apply to the evaluation team, through the call Coordinator, for approval of the changes and presents them with a justification.
5. The evaluation team decides whether to approve or reject the changes within 14 days of the proposal submission.
6. Failure to implement the project in time precludes proposal submission in other calls carried out in the Anthropocene PRA for a period of two years starting from the day on which the project should have been completed.

Personal data protection

§ 21

Pursuant to Article 13 of the Regulation of the European Parliament and of the Council (EU) 2016/679 of 27 April 2016 on the protection of individuals with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC (General Data Protection Regulation, hereinafter: GDPR), the Jagiellonian University informs that:

1. **The Controller** of your personal data is the Jagiellonian University, ul. Gołębia 24, 31-007 Kraków, represented by the JU Rector.
2. **The Jagiellonian University has appointed a Data Protection Officer** at ul. Gołębia 24, 30-007 Kraków, room no 5. The Officer can be contacted via e-mail: iod@uj.edu.pl by phone at 12 663 12 25, from Monday to Friday between 8 a.m. and 3 p.m.
3. Your personal data provided in the call entry will be processed:

- a. in the case of all Participants: for the purpose of **organising, carrying out the call** (hereinafter: the call) pursuant to Article 6.1.f of the GDPR, i.e. of the legitimate interests pursued by the controller, consisting in naming the laureate of the call;
 - b. in the case of the Participant who has been awarded funding in the call: for compliance with a legal obligations to which the call Host Entity, including in particular tax obligations, is subject to, i.e. Pursuant to Article 6.1.c of the GDPR.
4. While providing your personal data is voluntary, it is a necessary prerequisite for taking part in the call. Not providing personal data precludes participation in the call. In the case of Participants referred to under point 3.b above, providing the data constitutes a legal obligation, and failing to provide them shall preclude awarding funding to the Participant.
5. Personal data of the Participants referred to under point 3.a will not be made available to entities outside the call Host Entity's staff.
6. Personal data of the Participants referred to under point 3.b above may be published on the call Host Entity's website, and they may be published in its social media. These data will also be made available to authorised state authorities, including in particular to the extent that these authorities are entitled to verify the fulfilment of the legal obligations imposed on the call Host Entity.
7. Your personal data shall not be transferred to third countries (outside the EEA) or to international organisations.
8. Personal data of the Participants holders referred to under point 3.a above shall be processed until the call is concluded and its results made known.

Personal data of the Participants holders referred to under point 3.b above shall be processed in the period resulting from fiscal regulations, and subsequently, for archiving purposes, pursuant to the applicable legal regulations.
9. You have the following rights: to obtain information on personal data processing and rights resulting from the GDPR, to access your data and rectify them, as well as to have them deleted from the controller's database (unless further processing is necessary for fulfilling a legal obligation or for the purposes of determining, pursuing, or defending claims), to limit their processing or transfer, and to object to the processing of the data – in the cases and under the conditions specified in the GDPR.
10. Your personal data will not be subject to automated decision making or profiling.
11. You have the right to lodge a complaint to the President of the Office for Personal Data Protection, should you ascertain that the processing of your personal data violates the provisions of the GDPR.

Final provisions

§ 22

These Terms and Conditions enter into force on 1 December 2020 and remain in force until the end of the first edition of the call.